

# Sliding Mode Position Tracking Control-Based Waterwheel Plant Algorithm for Elbow Rehabilitation Systems

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## ARTICLE INFO

## ABSTRACT

### Article history

Received March 13, 2026

Revised April 08, 2026

Accepted April 18, 2026

### Keywords

Elbow Rehabilitation System;

Sliding Mode Control;

Reaching Law;

Tracking Control;

Waterwheel Plant Algorithm;

MATLAB-Based Simulation;

Robust Analysis

Joint dysfunction affects millions of people worldwide, severely limiting their ability to carry out fundamental daily activities such as eating, drinking, and writing. These limitations often hinder independent self-care. To address this challenge, rehabilitation robots have been developed to assist individuals with physical impairments in performing daily tasks and preserving their autonomy. In this study, a sliding mode control (SMC) for position tracking of unit step and sinusoidal signal inputs of the elbow rehabilitation (Elb-Rehab) systems is proposed. In the context of performance enhancement of the SMC, two reaching laws are examined. The first reaching law is based on the hyperbolic tangent function ( $SMC_{tah}$ ), whereas the second one is based on the saturation function ( $SMC_{sat}$ ). SMC's designed parameters are tuned using the waterwheel plant algorithm (WPA) based on the integral of absolute error (IAE) as a cost function. Simulation experiments based on MATLAB software are used to examine the two control algorithms for the Elb-Rehab system, which are then analyzed. The simulation outcomes reveal that the tracking error based on the IAE of the system controlled by the  $SMC_{sat}$  is reduced by 12.68% and 76.3% compared to that of the system controlled by the  $SMC_{tah}$  for the unit step and sinusoidal signal inputs respectively. Furthermore, under an uncertainty scenario, the  $SMC_{sat}$  continues to outperform the  $SMC_{tah}$ . However, the  $SMC_{tah}$  shows slightly less sensitivity to uncertainty than the  $SMC_{sat}$ .

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## 1. Introduction

Every year, many people develop disabilities because their joints do not work properly. This can happen for different reasons, like getting older or having a stroke. For example, about 13.7 million new cases of stroke happen worldwide each year [1]. A lack of muscle control is commonly observed in these individuals because of difficulties in producing sufficient joint torque and in overcoming mechanical resistance at the joints. Such impairments significantly impair the ability to perform daily activities. Consequently, rehabilitation programs play a crucial role in regaining physical function and are integrated into everyday life [2], [3].

The rehabilitation process requires continuous involvement of medical professionals and specialists who must closely monitor each patient's movement. In conventional therapy, predefined exercises are manually assigned by a physician to individuals with disabilities to assess treatment progress throughout the rehabilitation period. Consequently, this approach is costly and time-consuming [4], [5]. The integration of wearable robotics technologies, particularly the elbow exoskeleton system, with an advanced control system can effectively address these challenges and enable improved rehabilitation outcomes.

Wearable exoskeletons enhance human performance by assisting human motions to reduce the user's effort and decrease fatigue [6]. Exoskeleton systems, as well as end effector systems, are patient-centric sports equipment and effector systems that provide in-depth control of joint angles and torques, making them an appropriate robotic modality for rehabilitative intervention in depth with severe motor impairment following brain injury. To perform their work, these exoskeleton technologies use flexible mechanical components that rely on actuators and sensor technology to perform their duties appropriately [7].

To guarantee the performance of exoskeleton systems for rehabilitation training, various control schemes have been developed. For instance, Three controllers, including proportional-integral (PI), fuzzy logic control (FLC), and sliding mode control (SMC), were presented by Jalani and Sadun [8], the results indicate that SMC provides superior control performance for the hand exoskeleton used in stroke rehabilitation, in terms of minimal overshoot, zero steady-state error, and the fastest settling time compared to PI and FLC controller. Nevertheless, the mathematical model is not described with sufficient clarity, and the paper does not analyze the impact of parameter variations on the system's behavior. Barbouch et al. successfully evaluated the efficacy of a feedback error learning algorithm in conjunction with SMC for elbow joint movements, utilizing a validated electrical simulation environment [9]. Brahim et al. [10] modeled a backstepping sliding-mode control scheme for tracking the predefined path of an ETSMARSE exoskeleton robot during passive therapy. The robotic device is intended for individuals with reduced upper-limb capabilities resulting from stroke. However, the process used to determine the control gains lacks clarity, making it difficult to assess the design methodology. Additionally, the study does not analyze the effect of parameter variations on system performance. A model-free back-stepping sliding-mode based control method was presented by Yang et al. [11]. An external back-stepping controller with a nonsingular quick output sliding controller is used to improve effectuality of the control. The overall uncertainties were resolved using time-delay prediction. In a comparable manner, a fractional SMC (FSMC) method to control a 7-DOF upper-limb exoskeleton was introduced by Islam et al. [12]. In their study, accurate kinematic modeling was not considered. The FSMC performs better than the SMC in terms of control signal monitoring and chattering.

Adaptive control has attracted extensive attention as an effective solution for exoskeleton systems. In this manner, Bembli et al. [13] designed an adaptive sliding-mode method that relies on gravitational correction to handle upper-limb exoskeleton systems subject to parameter constraints. A two-degree-of-freedom robotic system is used in a rehabilitation approach to aid in controlling the elbow and shoulder movements. Nguyen et al. [14] attempted to design an adaptive intelligent controller by integrating the fuzzy logic (FL) concept with conventional PID control, particularly on upper-limb exoskeleton robots where motor power is concentrated on pneumatic artificial muscles. PID controller compensates for approximation error and hysteresis, and the FL component approximates nonlinear equations. In a similar vein, an adaptable fuzzy neural network (AFNN) was developed by Liu et al. [15] to set elbow joint angles using sEMG. The AFNN demonstrated superior performance in both speed and precision compared to other neural network models, such as backpropagation and radial basis NN. Swarm optimization has been chosen by many researchers to find the optimal values of the tuning parameters of a controller [16]–[18]. For example, Waheed et al. [19] used particle swarm optimization (PSO) to improve back-stepping sliding mode control for elbow joint angles. The whale optimization algorithm (WOA) was used in [20] to improve the effectiveness

of SMC in the control of an elbow exoskeleton system. However, in these two studies, there is no analysis regarding the sensitivity of the results to parameter variations is provided.

Most of the previous studies do not evaluate the performance of the controller under uncertainty in the system parameters. Building on this, the current paper aims to explore SMC approach for position tracking of unit step and sinusoidal reference for the Elb-Rehab system taking into account the variation in the value of the viscous friction coefficient. Moreover, in the context of performance enhancement of the SMC, two reaching laws are examined. The first reaching law is based on the hyperbolic tangent function ( $SMC_{\text{tah}}$ ), whereas the second one is based on the saturation function ( $SMC_{\text{sat}}$ ). SMC's designed parameters are tuned using the waterwheel plant algorithm (WPA) based on the integral of absolute error (IAE) as a cost function. Simulation experiments based on MATLAB software are used to examine the two control algorithms for the Elb-Rehab system.

## 2. Mathematical Model

The elbow rehabilitation (Elb-Rehab) system is shown in Fig. 1. The device applies an actuator, which is used to help the patients in doing joint motions [19].

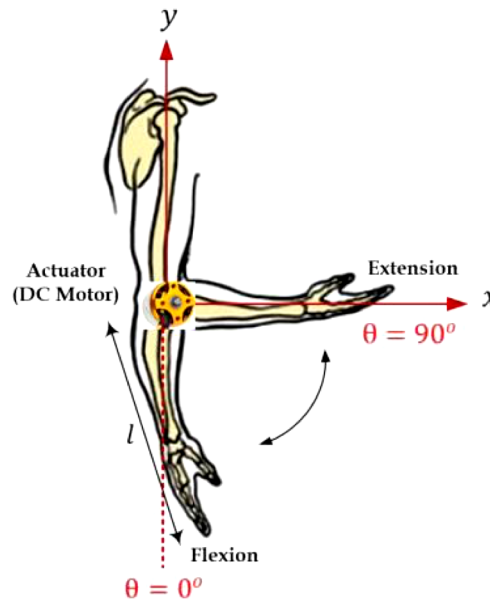


Fig. 1. Elb-rehab system

The biological arm and the Elb-Rehab dynamic model are developed in different ways. In this paper, the Lagrangian and Euler-Lagrange equations, as given in (1) and (2) respectively, are applied to establish the equations of motion [21].

$$L = E_k - E_g \quad (1)$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\theta}} - \frac{\partial L}{\partial \theta} = \tau_i \quad (2)$$

In (1), the system's kinetic ( $E_k$ ) and gravitational ( $E_g$ ) energies are expressed by the following equations as follows:

$$E_k = \frac{1}{2} I \dot{\theta}^2 \quad (3)$$

$$E_g = gml(1 - \cos \theta) \quad (4)$$

In this expression,  $I$  signify the moment of inertia of the human elbow, and  $m$ ,  $g$ , and  $l$  refer to the mass, the acceleration of gravity, and the distance between the elbow joint and the centre of gravity, respectively. The moment of inertia is obtained according to (5) [13].

$$I = \frac{1}{2}ml^2 \quad (5)$$

Substitute (3), (4), and (5) into (1) get the following result:

$$L = \frac{1}{2}I\dot{\theta}^2 - gml(1 - \cos \theta) \quad (6)$$

In return to (2),  $\tau_i$  is the input torque that is applied to the Elb-Rehab system, which is given by:

$$\tau_i = \tau_f + \tau_m \quad (7)$$

where the torques  $\tau_f$  and  $\tau_m$  are called the frictional and motor torque respectively. The torques  $\tau_f$  and  $\tau_m$  are given by:

$$\tau_f = K_v\dot{\theta} \quad (8)$$

$$\tau_m = I\ddot{\theta} + \tau_g \sin \theta \quad (9)$$

Here,  $K_v$  represents the viscous friction coefficient,  $\tau_g$  is the gravitational torque, and it is computed by (10) [18]:

$$\tau_g = gml \quad (10)$$

Substituting (8), (9), and (10) into (7) gives:

$$\tau_i = K_v\dot{\theta} + I\ddot{\theta} + \tau_g \sin \theta \quad (11)$$

Rearrange (11) gives:

$$I\ddot{\theta} = \left(\frac{1}{I}\right) (-K_v\dot{\theta} - \tau_g \sin \theta + \tau_i) \quad (12)$$

To end this, let us assume the angular position of the system  $\theta = x_1$ , the angular velocity of the system  $\dot{\theta} = x_2$ , and control input torque  $\tau_i = u$ , then, the mathematical model of the dynamic motion of the Elb-Rehab can be expressed using state variables as follows:

$$\dot{x}_1 = \dot{\theta} = x_2 \quad (13)$$

$$\dot{x}_2 = \ddot{\theta} = \frac{1}{I} (u - K_v x_2 - \tau_g \sin(x_1)) \quad (14)$$

For the purpose of controlling design, (14) can be rewritten as follows:

$$\dot{x}_2 = f(X) + bu \quad (15)$$

where  $f(X) = \frac{1}{I} (-K_v x_2 - \tau_g \sin(x_1))$  and  $b = \frac{1}{I}$ .

### 3. Sliding Mode Control Design

Feedback control has proven to be a remarkably engineering strategy for trajectory control because it continuously measures tracking errors and dynamically adjusts control inputs, ensuring

precise path following for a wide range of systems [22]–[27]. To address the disturbances and model uncertainties problem during tracking control, sliding mode control (SMC) is recommended as a method for controller design. It has two phases. The first phase is to define the surfaces that slide such that they meet the performance requirements. The next phase involves maintaining the system on the sliding surface [28]–[30].

Define the tracking error as:

$$e = x_d - x_1 \quad (16)$$

where  $x_1$  is the angular position output and  $x_d$  is the desired angular position. Taking the first and the second derivative of  $e$  yields:

$$\dot{e} = \dot{x}_d - \dot{x}_1 = \dot{x}_d - x_2 \quad (17)$$

$$\ddot{e} = \ddot{x}_d - \dot{x}_2 \quad (18)$$

Substituting  $\dot{x}_2$  from (15) into (18) obtains:

$$\ddot{e} = \ddot{x}_d - f(X) - bu \quad (19)$$

The trajectory of the sliding surface is chosen as follows:

$$s = \dot{e} + a_{smc}e \quad (20)$$

where  $a_{smc} > 0$  is the tuning parameter. The results of the first derivation of  $s$  lead to  $\dot{s} = \ddot{e} + a_{smc}\dot{e}$ . Substituting  $\ddot{e}$  and  $\dot{x}_2$  gives the following:

$$\dot{s} = \ddot{x}_d - f(X) - bu + a_{smc}\dot{e} \quad (21)$$

The second part of the SMC control law deals with switching control, which appears as a discontinuous element that drives the system to slide along a predefined surface [31], [32]. For the system to stay on this surface, the derivative of the sliding surface must match the reaching law (RL). In other words, the RL is the rule that defines how the system states are driven toward the sliding surface. Therefore, selecting an appropriate RL is crucial to minimizing the chattering effect commonly associated with SMC. In this paper, two RLs are proposed. The first RL is based on the hyperbolic tangent ( $\tanh$ ) function and is given by [33], [34]:

$$\dot{s} = -k_{tah} \left( \frac{2}{\pi} \right) \tanh(\beta s) \quad (22)$$

where  $k_{tah}$  is a tuning parameter, which is a strictly positive number, where  $\tanh s$  is given by:

$$\tanh s = \frac{e^s - e^{-s}}{e^s + e^{-s}} \quad (23)$$

Based on this RL, the control  $u_{tah}$  is obtained by setting (21) equal to (22), and accordingly, (24) is obtained as follows:

$$\ddot{x}_d - F(x, t) - u_{tah} + a_{tah}\dot{e} = -k_{tah} \left( \frac{2}{\pi} \right) \tanh s \quad (24)$$

Rearrange (24) to find  $u_{tah}$ :

$$u_{tah} = \ddot{x}_d - F(x, t) + a_{tah}\dot{e} + k_{tah} \left( \frac{2}{\pi} \right) \tanh s \quad (25)$$

The second RL is based on the saturation (sat) function, and it is given by [35], [36]:

$$\dot{s} = -k_{sat} \text{sat}(s/\emptyset) \quad (26)$$

where  $k_{sat}$  is an adjusted parameter  $> 0$ ,  $\text{sat}(s/\emptyset)$  is given by:

$$\text{sat}\left(\frac{s}{\emptyset}\right) = \begin{cases} +1 & \text{if } \frac{s}{\emptyset} > 0 \\ \frac{s}{\emptyset} & \text{if } -1 < \frac{s}{\emptyset} < 1 \\ -1 & \text{if } \frac{s}{\emptyset} < 0 \end{cases} \quad (27)$$

The control law for this RL  $u_{sat}$  is given by putting (21) and is equal to (26) as given:

$$\ddot{x}_d - f(X) - bu_{sat} + a_{sat}\dot{e} = -k_{sat}\text{sat}(s/\emptyset) \quad (28)$$

Rearrange (28) to find  $u_{sat}$ :

$$u_{sat} = \ddot{x}_d - F(x, t) + a_{sat}\dot{e} + k_{sat}\text{sat}\left(\frac{s}{\emptyset}\right) \quad (29)$$

#### 4. Waterwheel Plant Algorithm

The aim of optimization algorithms is to determine the optimal set of decision variables that either maximizes or minimizes a given objective function. Owing to their simplicity and adaptability, and inspired by the collective behavior and hunting strategies of animals, many swarm-based algorithms have been successfully developed and applied to solve complex problems [37]–[41]. Based on that, the swarm optimization techniques have been widely employed to obtain optimal controller parameters rather than the classical trial-and-error approaches [42]–[52]. The Waterwheel Plant Algorithm (WPA) was proposed by Abdelhamid et al. in 2023 [53]. The algorithm draws inspiration from the natural hunting behavior of the carnivorous waterwheel plant, also known as *Aldrovanda vesiculosa* [54]. The core concept is based on a mathematical simulation of the plant's hunting behavior—namely, prey detection, capture, and relocation for consumption—which underpins the optimization process's exploration and exploitation mechanisms. The WPA is an iterative optimization based on population search capability, depending on the population members, to find an optimization solution. Each member of the population can propose a potential solution to the optimization challenge, which is referred to as a 'water wheel.' The algorithm is inspired by the natural hunting strategy of the water wheel plant, which relies on its strong sense of smell to locate insects, capturing them, and then transferring the prey to its feeding tube. IN the following section, the WPA mathematical model is explained to address optimization challenges and mimic the plant's hunting strategy, consisting of an initial setup stage and two main stages: exploration and exploitation.

##### 4.1. Initialization Stage

The algorithm initializes a population of 'waterwheels' representing candidate solutions. The population is organized in matrix form, where each vector corresponds to a waterwheel in the search space. The initial positions are randomly generated within the problem's defined upper and lower bounds of the problem. This initialization marks the start of the optimization process [53].

- **Population matrix (P):** this matrix contains the position of all  $N$  waterwheels for  $m$  problem variables.
- **Initial position  $L_{i,j}$ :** the position of the  $i$ -th waterwheel in the  $j$ -th dimension is initialized using the following formula:

$$L_{i,j} = lb_j + r_{i,j}(ub_j - lb_j); \quad i = 1, 2, 3, \dots, N; \quad j = 1, 2, 3, \dots, m$$

where  $r_{i,j}$  is a random number in the interval  $[0, 1]$ ;  $lb_j$  and  $ub_j$  are the lower bound and upper bound of the  $j$ -th problem variable, respectively.

- **Objective function (F):** the fitness of each waterwheel (solution) is determined by the objective function and recorded in a vector.

#### 4.2. Exploration Stage

The waterwheel plant's behavior inspires the fundamental ideal at this stage for detecting and attacking insects, characterized by significant movement. To enhance exploration of the search space, the agents undergo significant positional changes. The search agents' positions are updated using equations designed to encourage wider exploration of the search domain. The update equation is considered effective only when the new position yields a better solution [55].

$$\vec{\psi} = \vec{r}_1 \cdot (\vec{L}(t) + 2M) \quad (30)$$

$$\vec{L}(t + 1) = \vec{L}(t) + \vec{\psi}(2M + \vec{r}_2) \quad (31)$$

where  $\vec{r}_1$  and  $\vec{r}_2$  are random variables with values in the ranges  $[0, 2]$  and  $[0, 1]$ , respectively. In addition,  $M$  is an exponential variable with values in the range  $[0, 1]$ , and  $\vec{\psi}$  is a vector that indicates the diameter of the circle in which the waterwheel plant searches for promising areas. If no improvement is seen after three iterations, a Gaussian mutation is applied to prompt exploration of a new region.

$$\vec{L}(t + 1) = \text{Gaussian}(\mu\rho, \sigma) + \vec{r}_1 \cdot \left( \frac{\vec{L}(t) + 2M}{\vec{\psi}} \right) \quad (32)$$

The exploration phase facilitates a thorough search, enabling the algorithm to scan a wide area of the search space, detect promising regions, and avoid becoming trapped in local optima.

#### 4.3. Exploitation Stage

This phase is modeled after the plant capturing an insect and transporting it to a feeding tube, which involves smaller, more controlled movements. It leads to small changes in the position of the waterwheels, allowing fine-tuning of the solution. The update equations are designed to make smaller adjustments relative to the current position and the best-known solution ( $L_{best}$ ), guiding the search toward the current optimum. The waterwheel moves according to these equations [56]:

$$\vec{\psi} = \vec{r}_3 \cdot (M\vec{L}_{best}(t)) + r_3\vec{L}(t) \quad (33)$$

$$\vec{L}(t + 1) = \vec{L}(t) + M\vec{\psi} \quad (34)$$

where  $\vec{r}_3$  is a random variable with values in the range  $[0, 2]$ ,  $\vec{L}(t)$  is the current solution at iteration  $t$ , and  $\vec{L}_{best}(t)$  is the best solution.

If the solution stagnates, a sine-based mutation is used for a controlled local adjustment [57].

$$\vec{L}(t + 1) = (\vec{r}_1 + M) \sin\left(\frac{F}{C}\theta\right) \quad (35)$$

where  $F$  and  $C$  are random variables with values in the range  $[-5, 5]$ . In addition, the value of  $M$  decreases exponentially using the following equation:

$$M = \left( 1 + \frac{2 * t^2}{T_{max}} + F \right) \quad (36)$$

The main objective of the exploitation phase is to perform a local search. Once a promising region is identified, this phase refines the search within that area to converge upon a better, more precise solution.

## 5. Results and Discussion

In this section, the computer simulation is given to evaluate the proposed controller (the  $SMC_{sat}$  and the  $SMC_{tah}$ ) for tracking trajectory control system for the Elb-Rehab system. MATLAB software was utilized to perform the numerical simulation. Table 1 lists the numerical value of the physical element of the Elb-Rehab system. Two desired trajectory are used in simulation. The first one is a unit step input whereas the second one is a composite sinusoidal signal containing multiple frequency components, as defined by:

$$x_r = \sin(2t) + \sin(5t) \quad (37)$$

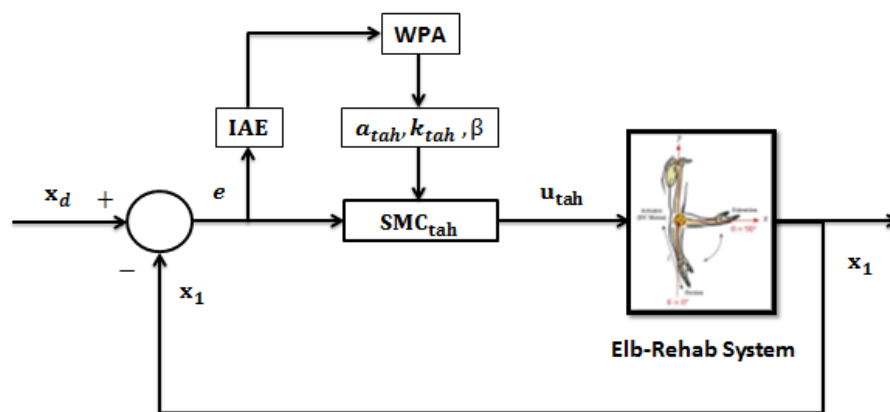
**Table 1.** Model physical specifications

Parameters	Value
Length (l)	0.24 m
Mass (M)	1.55 kg
gravity acceleration(g)	9.81 m/s <sup>2</sup>
Viscous friction coefficient (kv)	1.5 Nm/(rad/s)

The cost function used by the WPA, namely the integral of absolute error (*IAE*), was chosen to achieve optimal performance of the  $SMC_{sat}$  and the  $SMC_{tah}$ . This *IAE* is given by [58]–[60]:

$$IAE = \int_0^{t_{sim}} |e| dt \quad (38)$$

where  $t_{sim}$  refers to the simulation duration, and  $e$  is the tracking error, which obtained by the difference between the angular position of the wearable exoskeleton and the required angular position. The configurations of the the  $SMC_{sat}$  and the  $SMC_{tah}$  optimized by WPA for the Elb-Rehab system are shown in Fig. 2 and Fig. 3. Table 2 shows the values for the design parameters of the  $SMC_{sat}$  and the  $SMC_{tah}$ .



**Fig. 2.**  $SMC_{sat}$  based WPA for the Elb-Rehab system

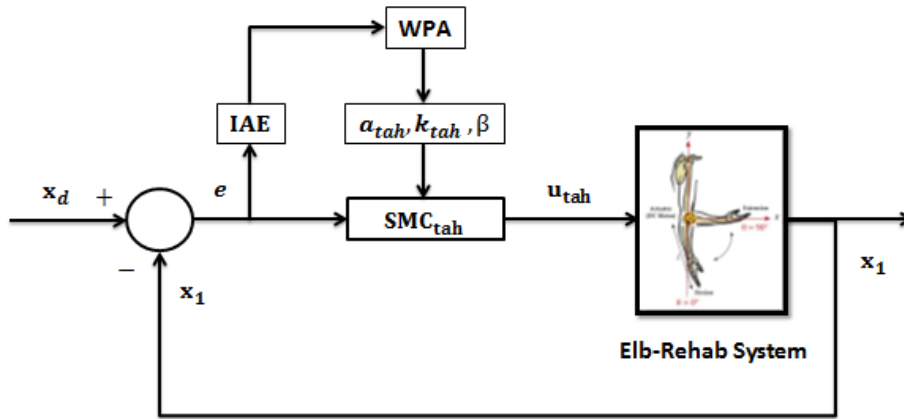


Fig. 3. SMC<sub>tah</sub> based WPA for Elb-Rehab system

Table 2. Optimal value of configuration parameters

Controller	Parameters	Values
SMC <sub>sat</sub>	a <sub>sat</sub>	33.8
	k <sub>sat</sub>	168.7
	Φ	0.32
SMC <sub>tah</sub>	a <sub>tah</sub>	35.4
	k <sub>tah</sub>	220.3
	β	0.81

5.1. Normal Operation

This simulation is to evaluate the performance of the SMC<sub>sat</sub> and the SMC<sub>tah</sub> in normal operation. Fig. 4 and Fig. 5 show the output position response and the tracking position error for the unit step input. Table 3 shows the numerical value result for the IAE index. Based on Fig. 4 and with the help of the visualization of the tracking error in Fig. 5, it can be seen that the SMC<sub>sat</sub> outperforms the SMC<sub>tah</sub>. This finding can be proven numerically using Table 3, which undoubtedly shows that the value of the IAE (1.79) for SMC<sub>sat</sub> is significantly less than the value of the IAE (2.05) for the SMC<sub>tah</sub>.

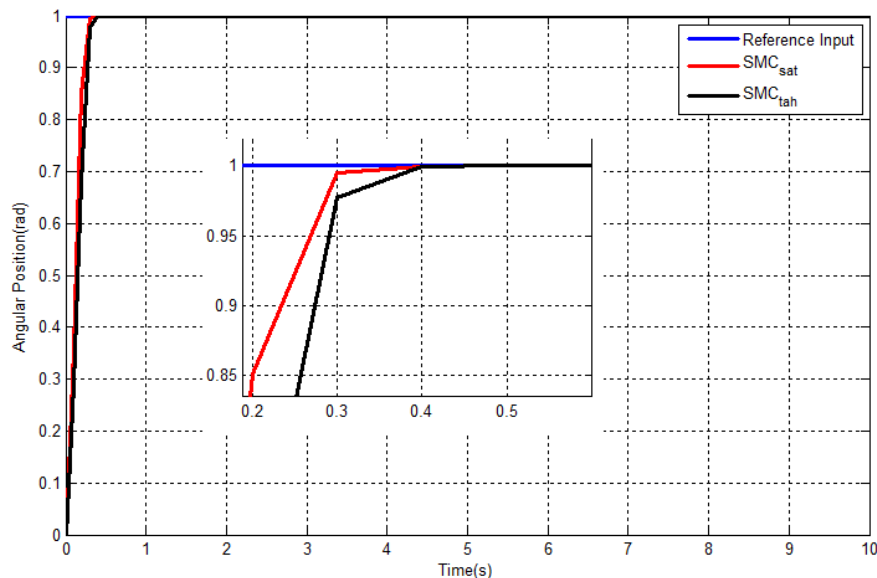
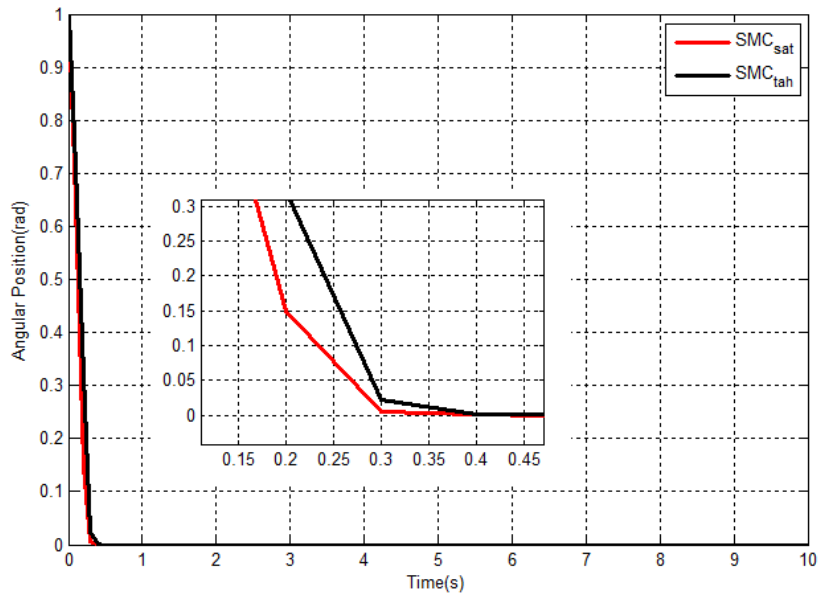


Fig. 4. System response of SMC<sub>sat</sub> and SMC<sub>tah</sub> under normal operation (unit step input)

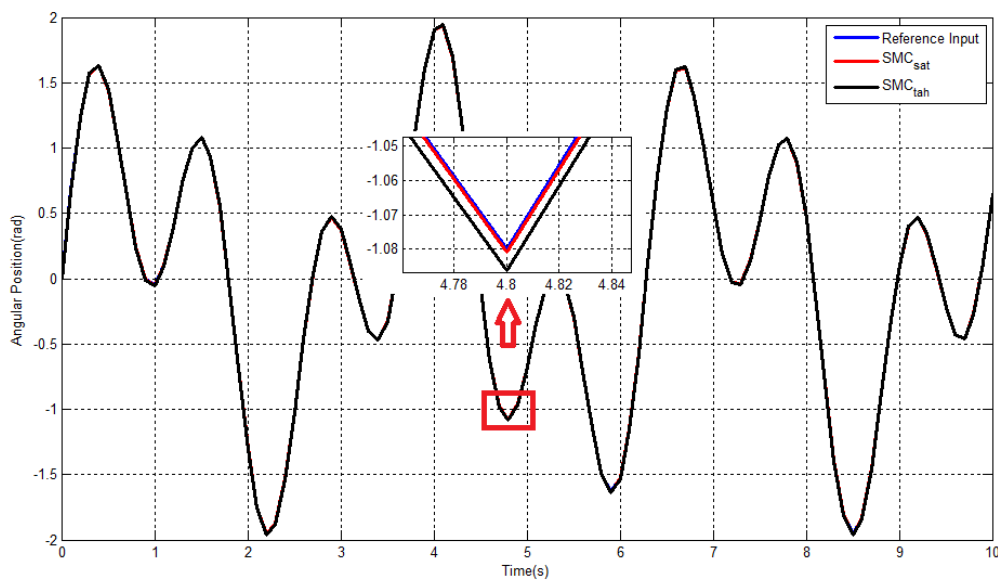


**Fig. 5.** Tracking error of  $SMC_{sat}$  and  $SMC_{tah}$  under normal operation (unit step input)

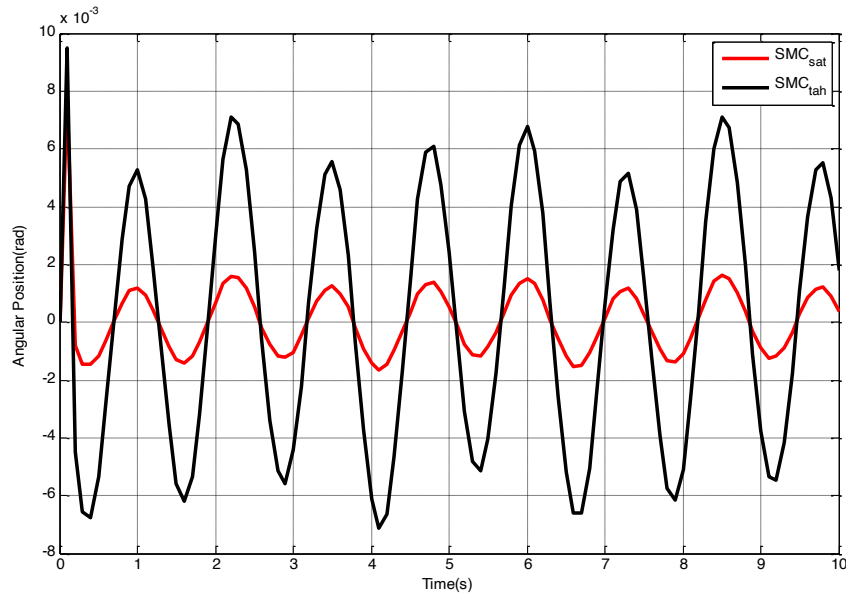
**Table 3.** Comparison of dynamic performance under normal operation (unit step input)

Performance	$SMC_{sat}$	$SMC_{tah}$
IAE (rad)	1.79	2.05

Moreover, Fig. 6 and Fig. 7 show the output position response and the tracking position error for the sinusoidal signal input. Table 4 shows the numerical value result for the IAE index. Based on Fig. 6 and with the help of the visualization of the tracking error in Fig. 7, it can be seen that the  $SMC_{sat}$  outperforms the  $SMC_{tah}$ . This finding can be proven numerically using Table 4, which shows that the value of the IAE (0.095) for  $SMC_{sat}$  is significantly less than the value of the IAE (0.402) for the  $SMC_{tah}$ .



**Fig. 6.** System response of  $SMC_{sat}$  and  $SMC_{tah}$  under normal operation (sinusoidal signal input)



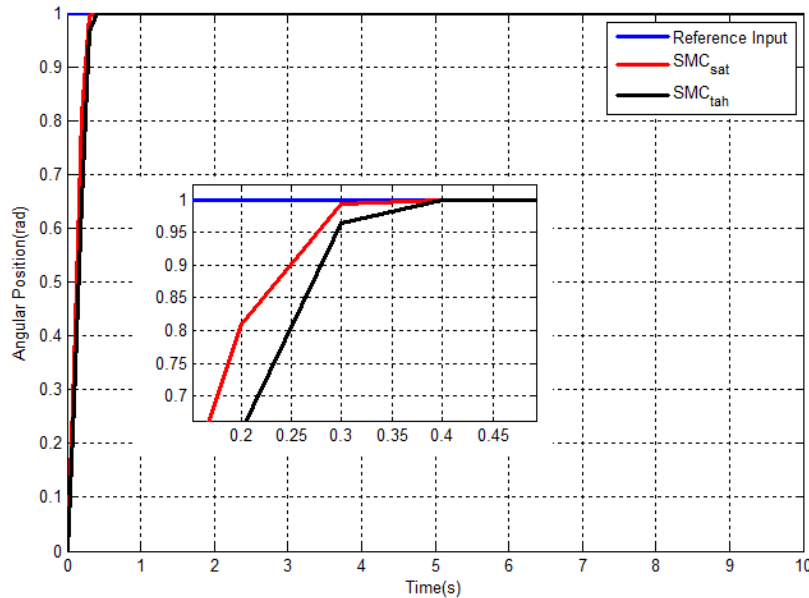
**Fig. 7.** Tracking error of  $SMC_{sat}$  and  $SMC_{tah}$  under normal operation (sinusoidal signal input)

**Table 4.** Comparison of dynamic performance under normal operation

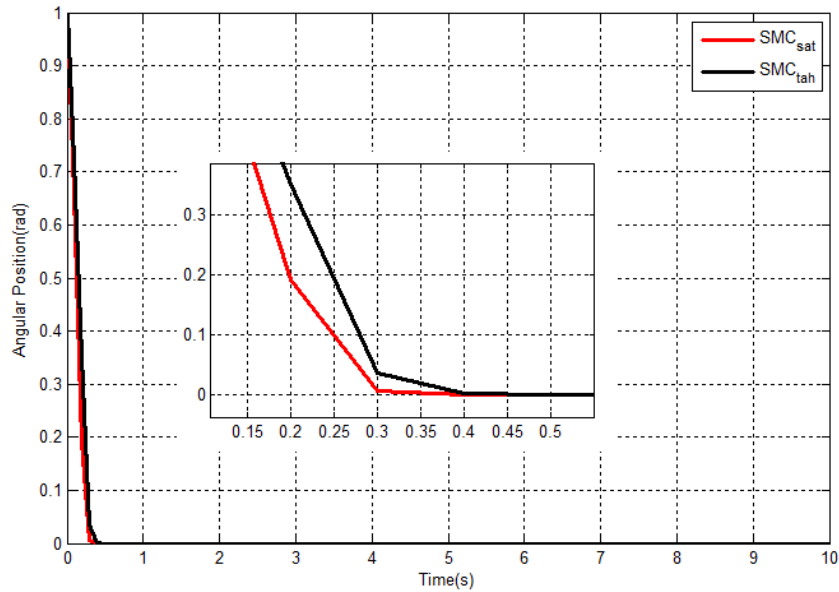
Performance	$SMC_{sat}$	$SMC_{tah}$
IAE (rad)	0.095	0.402

## 5.2. Uncertainty

To examine the resilience of the two controllers against uncertainty, it was assumed that the viscous friction coefficient ( $K_v$ ) is increased by 30% of its value. Fig. 8 and Fig. 9 show the response and tracking error of the two controlled systems for the unit step input.



**Fig. 8.** System response of  $SMC_{sat}$  and  $SMC_{tah}$  under uncertainty (unit step input)



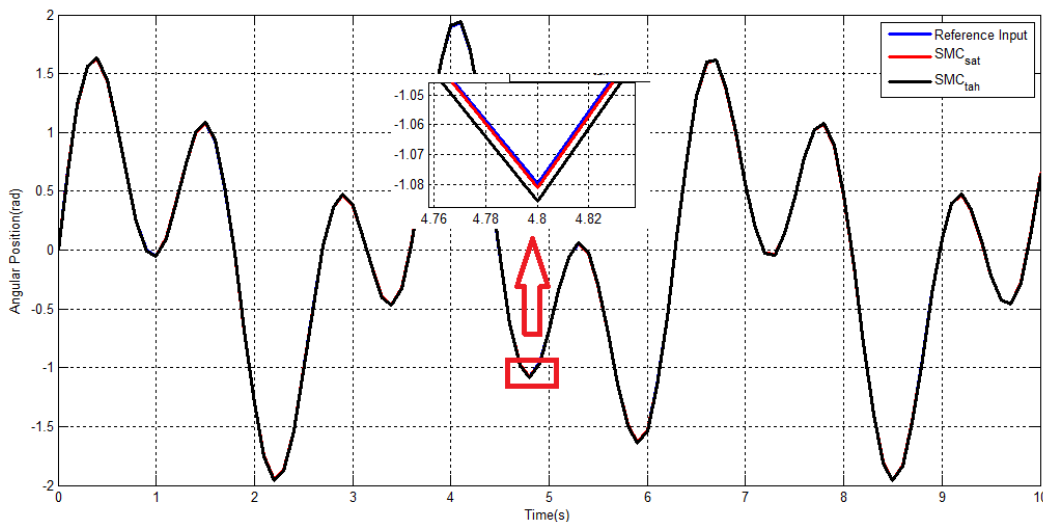
**Fig. 9.** Tracking error of  $SMC_{sat}$  and  $SMC_{tah}$  under uncertainty (unit step input)

Table 5 contains the associated numerical values of the *IAE*. It can be seen that while  $SMC_{sat}$  ( $IAE = 1.85$ ) is outperform  $SMC_{tah}$  ( $IAE = 2.11$ ) under uncertain conditions. However, the changing in the magnitude of its performance indicates that the  $SMC_{sat}$  has higher sensitivity to uncertainty compared to  $SMC_{tah}$ . Based on the results, it can be observed that the *IAE* for the  $SMC_{tah}$  was 2.05 in normal operation and it became 2.11 under uncertainty (increased by 2.84%) whereas the *IAE* for the  $SMC_{sat}$  was 1.79 in normal operation and it became 1.85 (increased by 3.24%). These results reveal that  $SMC_{tah}$  has slightly more robustness characteristic than  $SMC_{sat}$ .

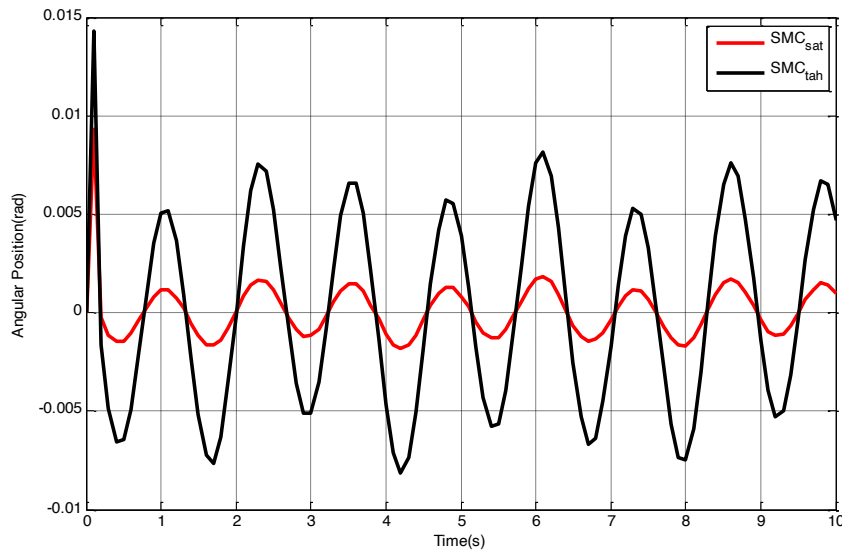
**Table 5.** Comparison of dynamic performance under normal operation (unit step input)

Performance	$SMC_{sat}$	$SMC_{tah}$
IAE (rad)	1.85	2.11

Moreover, Fig. 10 and Fig. 11 show the response and tracking error of the two controlled systems for the sinusoidal signal input.



**Fig. 10.** System response of  $SMC_{sat}$  and  $SMC_{tah}$  under uncertainty (sinusoidal signal input)



**Fig. 11.** Tracking error of  $SMC_{sat}$  and  $SMC_{tah}$  under uncertainty (sinusoidal signal input)

Table 6 contains the associated numerical values of the  $IAE$ . It can be seen that while  $SMC_{sat}$  ( $IAE = 0.105$ ) continues to outperform  $SMC_{tah}$  ( $IAE = 0.442$ ) under uncertain conditions, the magnitude of its performance degradation indicates a higher sensitivity to uncertainty compared to  $SMC_{sat}$ . Based on the results, it can be observed that the  $IAE$  for the  $SMC_{tah}$  was 0.402 in normal operation and it became 0.443 under uncertainty (increased by 9.04%) whereas the  $IAE$  for the  $SMC_{sat}$  was 0.095 in normal operation and it became 0.105 (increased by 9.52%). These results reveal that  $SMC_{tah}$  has slightly more robustness characteristic than  $SMC_{sat}$ .

**Table 6.** Comparison of dynamic performance under uncertainty

Performance	$SMC_{sat}$	$SMC_{tah}$
$IAE$ (rad)	0.105	0.442

## 6. Conclusion

This paper presents the design of a position tracking control scheme for elbow rehabilitation (Elb-Rehab) systems utilizing the sliding mode control (SMC) methodology. The control law of the SMC is obtained based on two reaching laws, hyperbolic tangent function ( $SMC_{tah}$ ) and the saturation function ( $SMC_{sat}$ ). The waterwheel plant algorithm (WPA) was applied to tune the design parameters of both the  $SMC_{tah}$  and the  $SMC_{sat}$ , to further enhance the controlled system. The results under normal operation demonstrate that  $SMC_{sat}$  outperforms  $SMC_{tah}$  in controlling the Elb-Rehab system. The  $SMC_{sat}$  exhibits the fastest tracking response to the desired tracking output. Under parametric uncertainty, the  $SMC_{sat}$  remains better in performance than  $SMC_{tah}$ . However, the latter show slightly less sensitive to such variations

It is important to acknowledge several limitations of the present study. Specifically, the results are based solely on MATLAB simulations without experimental or hardware validation. Besides, the actuator model considered is simplified. These limitations may affect the generalizability and practical applicability of the findings. Therefore, future work will focus on implementing hardware-based validation and developing more realistic actuator models to enhance the robustness of the proposed approach.

**Author Contribution:** All authors contributed equally to the main contributor to this paper. All authors read and approved the final paper.

**Funding:** This research received no external funding.

**Conflicts of Interest:** The authors declare no conflict of interest.

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